



OPERATIONAL RISK

Navigating Rapid Changes

NEW ORLEANS, LA*
March 4, 2020

DES MOINES, IA*
July 22, 2020

NEW YORK, NY*
August 5, 2020

ST. LOUIS, MO*
September 23, 2020

LUBBOCK, TX*
October 28, 2020

SAN DIEGO, CA*
November 4, 2020

Operational risk occurs in many different areas in a financial institution. This is the risk to current or anticipated earnings or capital arising from misconduct or errors of people, inadequate systems or processes, and adverse external events. This one-day workshop will better inform directors about how this risk occurs and what directors can do to mitigate the risk.

*Consecutive sessions with the Compliance Risk or Credit Risk workshops.

2020 OCC COMMUNITY BANK DIRECTOR WORKSHOPS

Operational Risk

Navigating Rapid Changes

- Define major operational risks and the related key operational risk management processes.
- Understand the oversight roles and governance responsibilities of the board.
- Discuss and share “best practices” regarding the structure, organization, and oversight of a risk-based audit program.
- Outline the common methods and motives of internal fraud and discuss the role of the board in establishing a strong ethical culture.
- Review methods of oversight of third-party vendors that perform or provide support to operational and business functions.
- Understand the increased volume and sophistication of cyber threats and regulatory expectations for addressing cyber threats.

Work with and learn from experienced OCC examiners who lead this one-day workshop, which includes discussions of best practices, lectures, and hands-on exercises designed for directors and senior management of national community banks and federal savings associations. The \$99 cost includes workshop materials and lunch.

DON'T DELAY. REGISTER TODAY! The workshop is limited to the first 35 directors and senior managers who register. For complete information and to register, go to www.occ.gov/occworkshops.

After registration is confirmed, call the hotel or book online to reserve your room. Tell the hotel you are attending the OCC Director Workshop. If you encounter difficulties in making reservations, please visit www.occ.gov/occworkshops and select Contact Us for assistance.

NEW ORLEANS, LA* - MARCH 4, 2020

Embassy Suites by Hilton
Hotel Reservations: (504) 525-1993
Discounted Room Rate: \$199.00
For discounted rate, book by February 5, 2020
www.hilton.com

DES MOINES, IA* - JULY 22, 2020

Renaissance Des Moines Savery Hotel
Hotel Reservations: (800) 468-3571
Discounted Room Rate: \$109.00
For discounted rate, book by June 15, 2020
www.marriott.com

NEW YORK, NY* – AUGUST 5, 2020

OCC Northeastern District Office
340 Madison Ave., 9th Floor
New York, NY 10017
See www.occ.gov/occworkshops for registration and accommodation information

ST. LOUIS, MO* - SEPTEMBER 23, 2020

Embassy Suites St. Louis Downtown
Hotel Reservations: (314) 269-5900
Discounted Room Rate: \$174.00
For discounted rate, book by August 31, 2020
www.embassysuites.com

LUBBOCK, TX* - OCTOBER 28, 2020

Embassy Suites Lubbock
Hotel Reservations: (806) 771-7000
Discounted Room Rate: \$96.00
For discounted rate, book by October 5, 2020
www.embassysuites.com

SAN DIEGO, CA* - NOVEMBER 4, 2020

Hilton San Diego Mission Valley
Hotel Reservations: (619) 543-9000
Discounted Room Rate: \$173.00
For discounted rate, book by October 5, 2020
www.hiltonmv.com